Swallow-related cerebral cortical activity maps are not specific to deglutition

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Kern, Mark, Rasmus Birn, Safwan Jaradeh, Andrei Jesmanowicz, Robert Cox, James Hyde, and Reza Shaker. Swallow-related cerebral cortical activity maps are not specific to deglutition. Am J Physiol Gastrointest Liver Physiol 280: G531–G538, 2001.—Cortical representation of swallow-related motor tasks has not been systematically investigated. In this study, we elucidated and compared these cortical representations to those of volitional swallow using block-trial and single-trial methods. Fourteen volunteers were studied by functional magnetic resonance imaging. Cortical activation during both swallowing and swallow-related motor tasks that can be performed independent of swallowing, such as jaw clenching, lip pursing, and tongue rolling, was found in four general areas: the anterior cingulate, motor/premotor cortex, insula, and occipital/parietal region corresponding to Brodmann's areas 7, 19, and 31. Regions of activity, volume of activated voxels, and increases in signal intensity were found to be similar between volitional swallow and swallow-related motor tasks. These findings, using both block-trial and single-trial techniques, suggest that cerebral cortical regions activated during swallowing may not be specific to deglutitive function.

CORTICAL ACTIVITY RELATED TO SWALLOWING

Cortical representation of swallowing is suggested to be multifocal (5, 6, 16–20) and bilateral, with handedness-independent hemispheric dominance (16, 18, 19). Various areas of the cerebral cortex, such as the sensory motor cortex, cingulate gyrus, and insula, have been found to become activated during volitional swallowing (17, 19, 20). These areas represent the summation of the activated cortical regions involved in swallowing. The cortical representation of the swallow-related motor tasks and their contribution to the sum of activated cortical regions during swallowing has not been systematically investigated. It is also not clear whether cortical representation of these swallow-related tasks is similar to the findings reported for volitional swallow with regard to cortical regions of activity and laterality.

The aim of the present study, therefore, was to elucidate the cortical representation of three swallow-related motor events, namely lip pursing, tongue rolling, and jaw clenching, that are also routinely performed by humans for nondeglutitive purposes and to compare these cortical representations to those of volitional swallowing.

METHODS

fMRI is a recently developed technique for functional study of the cerebral cortex of the human brain. By measuring the local changes in oxyhemoglobin concentration in regions of increased neuronal activity, fMRI has been shown to accurately detect cortical activity during motor and sensory stimulation (3, 4, 7, 11, 12, 14, 24, 30, 34). In addition to the use of magnetic resonance (MR) techniques to create high-resolution anatomic images, MR techniques are also used to detect changes in magnetic properties of human tissue. The focus of the present study is detection of functional cerebral activity due to local changes in deoxyhemoglobin concentration. Blood oxygenation level-dependent contrast is based on the fact that as blood is deoxygenated the diamagnetic oxyhemoglobin changes to paramagnetic deoxyhemoglobin (3, 4). This change in magnetic susceptibility alters the local distribution of the field within a single pixel and results in changes in signal intensity, greater oxygen concentration being associated with a brighter pixel. The pixel brightness is scaled and stored as a numeric value ranging from 0 (a black pixel) to a maximum value dependent on the applied magnetic field strength. Thus a time course of gradient echo MRI images shows subtle changes in contrast in regions of altered oxygenation rate. The anatomic location of the contrasted region is associated with the control or sensation of any physiological stimuli provided during the acquisition of MR images.
Stereotaxic transformations of the MR brain images for intra- and intersubject comparisons are performed using the Talairach-Tournoux coordinate system (30). In this system, a brain is scaled by three axes. The y-axis (anterior to posterior) runs through an imaginary line spanning the superior edge of the anterior commissure and the inferior edge of the posterior commissure. The z-axis (superior to inferior) is also in the midsagittal plane, perpendicular to the y-axis and passing through the posterior margin of the anterior commissure. The x-axis (right to left) is perpendicular to the y- and z-axes and passes through their intersection. Brain dimensions are then scaled to the size of the extremities of the cerebellum in the direction of the defined axes.

A nonbiased method of detecting those regions that exhibited changes in oxy/deoxyhemoglobin concentration is achieved by applying a cross-correlation technique that compares an idealized paradigm and an actual MRI-generated time course. This correlation technique has been successful in detecting regions of cortical activation in other fMRI studies of motor task activation (3), photic activation of the visual cortex (14), auditory response (7), memory (12), psychotropic drug effects (34), and esophageal sensation (23). From an analytical point of view, this method of data analysis has two components: 1) to set a reasonable threshold for the probability of detecting activity similar to the stimulation paradigm (that is, for what value of the correlation coefficient will we accept a time series as being significantly correlated with the stimulus paradigm?) and 2) to choose the appropriate temporal shift for the idealized reference waveform. The temporal shift represents the interval between the onset of stimulation and the onset of detectable fMRI changes induced by the stimuli.

We systematically calculated correlation coefficients for every pixel in each MRI image time series. A probability threshold was set below which a pixel is excluded from consideration as a region of cortical activation. Although there is considerable variability among researchers in the probability level deemed acceptable for inclusion or exclusion, a range of 0.4–0.7 covers the published spectrum (3, 7, 12, 14, 34). We chose to use 0.7 as a conservative criterion for correlation threshold. Using software developed and validated (11) by the Biophysics Research Institute at the Medical College of Wisconsin running on a Silicon Graphics Indigo II workstation, we were able to calculate the correlation coefficients for idealized waveforms and fMRI time series.

Once the activated regions were established using the thresholding technique described above, a color map was produced indicating the anatomic positions of the pixels that exceed the correlation threshold. These color maps were overlain on the anatomic MR images to give an indication of functional anatomic location.

A common method of eliciting a cerebral cortical fMRI response for correlation analysis is the block-trial method. This stimulus-delivery technique is characterized by alternating intervals of repeated stimulus and periods of rest. In a block-trial swallow study, for example, 15 s of repeated swallowing would be followed by 15 s of no swallowing. These alternating intervals of swallowing and rest are repeated several times during a MR scanning sequence. Although this technique is designed to increase cortical blood flow to activation regions by the additive effect of multiple stimuli, the performance of multiple stimuli increases the probability of detecting motion-induced magnetic field changes in cortical regions of interest. These motion-induced artificial field changes are unrelated to the cortical blood flow changes associated with the stimuli but may appear in cortical regions wherein stimulus-induced changes in cortical hemodynamics also exist (5, 6).

To lessen the artifactual effects produced by the block-trial swallow study method, a single-trial technique has been developed (5, 6). In this approach, fMRI images are gathered immediately after a single swallow (or swallow-related event) using the inherent motion MRI signal artifact as a triggering event to reference and average fMRI signal changes induced by repetitious tasks. Since fMRI signal changes related to cortical blood oxygenation rate for a single event are on the order of 0.5–3% (3–6) above baseline signal values, many single trials are averaged to enhance the effect of recurrent signal changes due to deglutition and to reduce the effect of artifactual signal changes due to task-related motion.

Using the above-described block-trial and single-trial blood oxygenation level-dependent techniques, we studied the cerebral cortical response to the swallow-related motor tasks of lip pursing, tongue rolling, and jaw clenching and the cerebral cortical response to volitional swallowing. Our specific aims were to 1) characterize and compare the regions of cortical activity associated with the tested swallow-related motor tasks with the cortical regions associated with volitional swallowing and 2) evaluate the efficacy of the single-trial technique in reducing the motion artifact inherent in block-trial swallowing-related fMRI studies.

**Subjects**

A total of 15 studies were performed in 14 healthy volunteers (3 female, 11 male, age range 21–42 yr) with no past or current gastrointestinal symptoms. The three different protocols are described below. All but one subject was right handed. Studies were approved by the Human Research Review Committee of the Medical College of Wisconsin, and all subjects gave written informed consent before their studies.

**MRI Scanning**

Echo planar and anatomic MR images were acquired in the sagittal and axial planes for localized and whole brain images. MRI was performed using contiguous sagittal or axial slices. Scanning was done on a 1.5-T General Electric Signa Scanner in five subjects and on a 3-T Bruker Scanner in 10 subjects. Each scanner was equipped with a custom-made 3-axis head coil designed for rapid gradient field switching and a shielded, transmit/receive “birdcage” radio frequency coil to acquire a time course of echo planar images over the desired cortical region and slice specifications (3, 4, 7, 14, 34). Studies were conducted in three groups.

**Study group 1: comparison of single and block trials of swallow-related motor tasks.** Five subjects were studied to compare the block-trial and single-trial techniques for the swallow-related motor tasks of tongue rolling, lip pursing, and jaw clenching. During six 150-s scans of 10 axial, 0.5-cm-thick slices traversing the cortex sampled at 1 Hz, two kinds of trial were performed, one trial being alternating intervals of no activity and repetitive activity (block trial) and the other trial being a single activity every 15 s. In the block trials, there were four intervals of repeated motor tasks. In the single trials, there were eight single motor tasks with a 15-s interval of rest in between each single task.

**Study group 2: comparison of single and block trials of volitional swallowing.** Five subjects were studied to compare the block-trial and single-trial techniques for swallowing. During four 150-s scans of 10, 0.5-cm-thick slices traversing the cortex sampled at 1 Hz, two kinds of trial were performed, one trial being alternating intervals of no activity...
and repetitive swallowing (block trial) and the other trial being a single swallow every 15 s. In the block trials, there were four intervals of repeated swallowing. In the single trials, there were eight single swallows with a 15-s interval of no swallowing in between each single swallow.

**Study group 3: comparison of cerebral cortical activity regions of volitional swallowing and swallow-related tasks.** Five subjects were studied using the following block-trial protocol. Five 110-s scans of nine sagittal 1.5-cm-thick slices were sampled at 1 Hz, alternating 15-s intervals of no activity and activity. Subjects were signaled to begin and end an activity by a single, gentle tap on the leg. The type of activity (tongue rolling, lip pursing, jaw clenching, and swallowing) within each 110-s scan was not varied; however, the sequence of scan types was randomly varied from one individual to the next.

**Measured Temporal Parameters**

Figure 1 shows a schematic representation of the fMRI signal-timing parameters evaluated in all of our study protocols. The estimate for activation latency was determined by the value for which the idealized square wave was shifted in time to yield the greatest number of correlated pixels that exceeded the threshold probability described above. All other temporal characteristic values were determined from visual inspection of each fMRI time series that exceeded the threshold procedure.

**Cluster Analysis of Cerebral Cortical fMRI Activation Images**

To facilitate calculation of regional activity volumes and average signal increase associated with swallowing and swallow-related motor tasks, we applied the further requirement that regions shown on the cortical activity maps must be arranged in clusters of three or more contiguous voxels to be mapped as significantly correlated regions. The cluster criteria were applied to all voxels that passed our correlation standard to form cluster maps that were used for comparison within and between subjects.

**RESULTS**

Both block-trial and single-trial paradigm-driven tasks resulted in a significant increase in fMRI signal intensity in several cortical regions during both volitional swallowing and swallow-related motor tasks. Although the block-trial and single-trial techniques differed in terms of the specific coordinates of cortical activity represented by the pixels correlated to the motor activity paradigms, the general anatomic regions of cortical activity were similar for the two techniques for each task.

**Group 1 Studies: Comparison of Cerebral Cortical Activity During Swallow-Related Motor Tasks Using Block-Trial and Single-Trial Techniques**

Effects associated with motion artifact as reported previously (5, 6) limited accurate interpretation of cortical activation regions elicited during block-trial stimulation in individual studies. The motion-related artifact was primarily manifested on the perimeter of the brain image outside of the cortex. These artifactual areas varied from one individual to the other. Taking into analysis only the areas that were common among the study subjects eliminated the artifactual signals in individual subjects and produced an activity map similar to that of the single-trial technique.

The single-trial averaging technique virtually eliminated motion-related artifacts. The regions of activation associated with the swallow-related motor tasks are shown in Table 1. Two-way ANOVA testing variability due to regions of cortical activity and type of motor task showed that there were no significant differences in any of the measured magnetic signal-timing parameters when comparing the block-trial to the single-trial technique. The composite, average block-trial cortical activity maps were similar to the average activity maps for the single-trial technique.

**Group 2: Comparison of Cerebral Cortical Activity During Volitional Swallowing Using Block-Trial and Single-Trial Techniques**

Although the exact Talairach coordinates of each significantly correlated voxel were not the same in the average activity maps, the general cortical regions of activity were similar (Table 2). There were no significant differences in any of the measured fMRI signal-timing parameters when comparing the block-trial with the single-trial technique.

**Group 3: Cerebral Cortical Activity During Swallow-Related Motor Tasks and Volitional Swallowing**

Regions of cortical activity were similar for all of the swallow-related motor tasks (Table 3). The timing characteristics of the fMRI signal waveforms did not differ significantly among the swallow-related motor tasks. Volitional swallowing showed many shared cortical regions compared with cortical regions associated with the swallow-related motor tasks (Table 3).

The results of the cluster analyses are depicted in Fig. 2. In the group 1 data, the cluster analysis showed the single-trial technique to be capable of detecting magnetic signal changes in cortical regions otherwise concealed by motion artifact, especially for the jaw-clenching task. Also shown by the cluster analysis was
the multifocal nature of the tested swallowing-related motor tasks. The regions of clustered cortical activity for the swallowing-related motor tasks were similar to the regions of clustered activity associated with volitional swallowing shown for the group 2 data. The group 3 data further elucidate the fact that both the swallowing-related motor tasks and volitional swallowing are cortically multifocal and share similar regions of cortical activity. These regions of interest were determined a posteriori after analyzing the correlation data. The Brodmann areas in the definitions of these regions include all represented areas from all subjects. Comparison of the cortical activity volumes during swallowing and swallowing-related motor tasks in four common regions among five subjects who performed a block trial of swallowing and the related motor tasks in the same setting (group 3) is shown in Fig. 3. As seen, total volume of activity within each region for all tasks was similar. With two-way ANOVA, the volume of activity data was tested for variability between tasks and between cortical regions. Although there was no significant variability due to the different motor tasks in each region of interest, the motor/premotor region was characterized by greater cortical activity volume compared with the other regions of interest for all tasks ($P \leq 0.05$). Comparison of maximum percent change in cortical fMRI signal intensity during rest and performance of the tasks showed that the percent increase in cortical activity during performance of all tasks averaged $3.2 \pm 0.9\%$ for a single trial and $7.1 \pm 1.6\%$ for block trials and did not show any statistical difference between various tasks or various regions.

**DISCUSSION**

In this study, we compared the cerebral cortical representation of volitional swallowing and its related motor tasks. Study findings indicate that, similar to swallowing, volitional performance of swallow-related motor tasks such as jaw clenching, lip pursing, and tongue rolling is represented at various foci on the cortex. With swallowing, the findings of the present study corroborate previous reports in asymptomatic subjects without swallowing abnormalities (19, 20). These findings may also provide some explanation for the development of dysphagia in stroke and head injury patients (1, 2, 9, 13, 15, 16, 21, 25–29, 31–33, 36) with involvement of various regions of the cortex. Previous studies of the cerebral cortical involvement in swallowing in humans fall into several categories. In the field of stroke and brain injury research, an indirect correlation has been made between the occurrence of swallowing disorders and the documented location of

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**Table 1. Regions of activation in block- and single-trial studies of lip pursing, jaw clenching, and tongue rolling**

<table>
<thead>
<tr>
<th>Subject</th>
<th>Block Trial Regions of Activation</th>
<th>Jaw clenching</th>
<th>Tongue rolling</th>
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<tr>
<td>1</td>
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**Table 2. Regions of activation in block- and single-trial studies of swallowing**

<table>
<thead>
<tr>
<th>Subject</th>
<th>Block Trial Regions of Activation</th>
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<th>Tongue rolling</th>
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<tr>
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<td>7, 19, 23, 30, 32, operculum</td>
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<table>
<thead>
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<th>Subject</th>
<th>Single Trial Regions of Activation</th>
<th>Jaw clenching</th>
<th>Tongue rolling</th>
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</table>

Numbers indicate Brodmann’s areas.
cortical insult. These studies primarily focus on the relationship between the cerebral vascular location of stroke or injury and the associated deglutitive deficits. Together, these studies report both anterior and posterior cortical insult as well as brain stem stroke, in isolation or combination, as a causative factor to dysphagia. Symptoms such as aspiration (2, 15, 28), diminished deglutitive hyolaryngeal mechanics (28), poor timing and coordination of deglutitive events (15, 16, 32, 33), and sensory discrimination (36). These findings support the idea of multiple cortical regional involvement in volitional swallowing.

The effect of direct stimulation of the cortex on some deglutitive muscles has been studied using transcranial magnetic stimulation. By magnetically stimulating specific cortical areas and simultaneously monitoring electromyographic activity in some of the deglutitive muscles in humans (16, 18), specific areas of the motor/premotor cortex were found to be related to the activity of the deglutitive muscles. These transcranial magnetic stimulation techniques are limited to mapping of the outer cortical surfaces; however, the influence of deeper and more caudal centers such as the operculum and the insula has been hypothesized (16, 18). The transcranial magnetic stimulation technique has been applied to the evaluation of swallow recovery after stroke (17). In these studies, recovery of swallowing ability was associated with contralateral hemispheric reorganization of the sites associated with the swallow-related muscles. Interestingly, the site of stroke was not found to be directly related to the premotor or motor regions identified as being affiliated with the tested deglutitive muscles but rather to regions remote from the motor area such as the parietal and temporal regions and the brain stem. These studies also indirectly support the multifocal nature of cerebral cortical involvement in volitional swallowing.

More recently, the single-trial fMRI technique has been applied to swallowing (5, 6, 8, 19), showing the efficacy of the single-trial technique for studying motor tasks inherently associated with brief motion of the head and neck muscles such as swallowing. These studies have shown similar regions of activation to those reported in the present work; however, the signal response in one of the studies showed greater latency and signal increase duration than those reported in the present study (19). One explanation for this discrepancy is that the subjects in the cited study swallowed 5-ml water boluses, whereas subjects in the present study swallowed their ambient saliva.

The results of the present study indicate that cortical activation was associated with swallowing in four general areas: the anterior cingulate, the motor/premotor cortex, the insula, and the occipital/parietal region, corresponding to Brodmann’s areas 7, 19, and 31. These findings corroborate earlier work from our lab (5, 6) and others using fMRI (19) as well as studies of deglutitive cortical processes using other analytical modalities and clinical methods (1, 2, 9, 13, 15, 16, 18, 21, 25–29, 31–33, 36).

The anterior cingulate is a recognized center for the processing of painful stimulus and attention to volitional actions as well as the processing of the affective components of emotion (17–20, 23). Changes in cerebral cortical hemodynamics have been shown for this region during chemical and mechanical stimulation of the esophagus (23). Our findings support the notion that activation of this region during volitional swallowing may reflect the attention component of the swallow task. Study findings also show this region to be involved in swallow-related motor tasks, supporting the notion of involvement of the anterior cingulate as a nonspecific site for attention associated with other motor tasks in addition to deglutition.

Our study corroborates previous reports of cortical activity in the motor/premotor areas during swallowing. This area represents a controlling region for oropharyngeal deglutitive muscle activity. Inferences with regard to the somatotopic mapping of the motor region specific to swallowing were not possible given the relatively large voxel sizes used in the present study; however, study findings indicate that swallowing involves both hemispheres, with larger and more intense activity present in the right hemisphere. It is interesting to note that the motor/premotor areas have greater volume recruitment in the right hemisphere in

Table 3. Regions of cortical activity for all swallow-related motor tasks

<table>
<thead>
<tr>
<th>Subject</th>
<th>Swallowing</th>
<th>Lip Pursing</th>
<th>Jaw Clenching</th>
<th>Tongue Rolling</th>
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Numbers indicate Brodmann’s areas.
the right-handed subjects tested in our study. The lone left-handed subject, however, showed greater volume in the left hemisphere motor/premotor region.

Cortical insult of the insula (2, 13, 29), operculum (2, 25, 32), premotor and motor areas (32), and the cingulate gyrus (26) has been associated with documented swallowing deficits or abnormal deglutitive muscle activity. Our study findings have shown insular involvement in swallowing to be bilateral but hemispherically asymmetric in some subjects. These findings substantiate previous reports concerning the development of dysphagia in subjects with isolated right anterior insular stroke (16).

### Fig. 2. Regions of cortical signal change. Presence (+) or absence (−) of a signal change within designated anatomic locations that meet or exceed correlation criteria and in which a “cluster” criteria has also been met, a cluster being as set of at least 3 contiguous, correlated voxels. Note that subject 4 in groups 1 and 2 was left handed. O, occipital cluster; I, insular cluster; A, anterior cingulate cluster; M, motor/premotor cluster. *Greater %increase and ‡greater volume for right vs. left hemisphere.

<table>
<thead>
<tr>
<th>Group 1 Data</th>
<th>Block Trial Jaw Clenching</th>
<th>Single Trial Jaw Clenching</th>
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<tr>
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<td>Cortical Cluster Location</td>
<td>Cortical Cluster Location</td>
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**Block Trial Lip Pursing**

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**Block Trial Swallowing**

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<th>Block Trial Lip Pursing</th>
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**Block Trial Tongue Rolling**

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<th>Subject Index</th>
<th>Block Trial Jaw Clenching</th>
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out conclusive evidence of hemispheric propensity in terms of cluster volume or percent increase of magnetic field from baseline. χ-Squared analysis of the incidence of greater hemispheric activity volume shows no clear picture of hemispheric dominance. For jaw clenching, the right insula and right motor/premotor regions showed greater volumes of activity in 83 and 78% of subjects, respectively; however, no hemispheric dominance was shown for the incidence of activity in the occipitoparietal and anterior cingulate hemispheres during jaw clenching. Lip pursing was bilaterally represented for volume of activity in all cortical regions. Tongue rolling showed a high incidence of right hemispheric motor (64%) and anterior cingulated (75%) activity, with no clear volume dominance for insular and occipitoparietal regions. Part of the reason for these inconclusive findings may be the large size of the voxels used in this study. Future studies using a smaller voxel size focused on individual regions are needed to address these issues conclusively. This is in contrast to volitional swallowing, which shows a marked dominance of right hemispheric cortical activity in terms of both volume and intensity of the activated regions. However, the regions of activity, volume of activated voxels, and increases in signal intensity were found to be similar between volitional swallowing and swallow-related motor tasks, indicating similar cortical processing. Since the viscerotropic representation of swallow-related motor tasks is known to encompass the motor cortex, this similarity afforded by the activation of other areas such as prefrontal, cingulate, and insular cortex may represent the influence of volition and intent shared between performance of these tasks.

As stated above, however, there may be differences between cortical activity during swallow and its related motor tasks that have escaped detection because of the voxel size used in this study. The possibility of subtle regional cortical differences between swallowing and swallow-related motor tasks needs to be explored using a smaller voxel size.

As shown previously (5, 6), the single-trial technique eliminates much of the motion-related artifact associated with swallowing and swallow-related motor tasks such as jaw clenching, tongue rolling, and lip pursing. The present study has further shown that averaging the response to the block-trial paradigm yields cortical activity maps that are very similar to that of the single-trial paradigm, suggesting that this technique may be used reliably, provided that the response data from several block trials are combined.

In conclusion, both swallow-related motor tasks and volitional swallowing are represented cortically in discrete and multifocal regions. Cortical representation of swallowing-related motor tasks such as tongue movement, lip pursing, jaw clenching, and volitional swallowing are similar, suggesting that cerebral cortical regions involved in swallowing may not be specific to deglutition. The multifocal nature of deglutitive cortical topography of volitional swallowing and its related motor events may explain the common occurrence of
acute or chronic swallow deficits in stroke patients following ischemic insult involving various cerebral regions.

REFERENCES


