

1 **Helminths in the gastrointestinal tract as modulators of immunity and pathology**

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20 **Abstract**

21 Helminth parasites are highly prevalent in many low- and middle-income countries, in which
22 inflammatory bowel disease and other immunopathologies are less frequent than in the
23 developed world. Many of the most common helminths establish in the gastrointestinal
24 tract, and can exert counter-inflammatory influences on the host immune system. For these
25 reasons, interest has arisen in how parasites may ameliorate intestinal inflammation and
26 whether these organisms, or products they release, could offer future therapies for immune
27 disorders. In this review, we discuss interactions between helminth parasites and the
28 mucosal immune system, and progress made towards identifying mechanisms and
29 molecular mediators through which it may be possible to attenuate pathology in the
30 intestinal tract.

31 **1. Introduction**

32 Helminth infections are highly prevalent in most tropical and lower-income countries, yet
33 notably these areas also suffer relatively low levels of “diseases of modernity” associated
34 with hyperactive immune responsiveness (105,181). While economic development has
35 reduced or eliminated helminth infections, there has been an inexorable rise in the
36 incidence of immunological disorders such as allergy, autoimmunity and inflammatory bowel
37 disease. One possible explanation is that helminths (and immunomodulatory molecules they
38 produce) directly modulate the host immune system to attenuate development of anti-
39 parasite immunity, in a manner that may also dampen bystander immune pathologies
40 (104,116).

41 Helminths are multicellular worm parasites that have evolved to occupy a vast range of
42 niches, including the gastrointestinal tract of vertebrate hosts (**Table 1**). In general, they
43 establish long-lived, chronic infections characterised by widespread down-modulation of
44 both the innate and adaptive arms of host immunity. Hence the presence of intestinal
45 helminths may block the same inflammatory pathways that are responsible for allergies and
46 autoimmunity, raising the potential for novel therapies based on the molecules and/or the
47 pathways that parasites have evolved to suppress host immune reactions (51,69,113).

48 Even today, helminth infections affect around one quarter of people in the world (74,140)
49 and in historic times would have been near-universal in the human population, so that these
50 parasites have been long-term companions acting to shape the immune system. Indeed,
51 helminth parasitism of the vertebrate gastrointestinal tract has been noted in fossils dating
52 to the early Cretaceous period, approximately 125 million years ago (MYA) (138);
53 additionally, the ubiquitous presence of geohelminths such as the genus *Trichuris* in many
54 animal species suggests that parasite co-evolution paralleled the mammalian adaptive
55 radiation, starting 65 MYA. In fact, gastrointestinal helminth parasitism likely is present in
56 virtually every mammal residing in a “natural” habitat.

57 Obviously, some parasitic species, especially those of relatively recent introduction to
58 humans, are a major public health scourge and cause significant morbidity and mortality
59 worldwide (75). On the other hand, the long co-evolutionary history of helminths and their
60 hosts has resulted in many parasites being relatively well-tolerated and even contributing
61 through their subtle dampening of inflammation to an optimal immunological balance (1).
62 Thus, in modern times the absence of helminths may lead to the immune system

63 'overshooting' and mounting deleterious responses to harmless environmental and self
64 antigens.

65 Importantly, in many instances a host's environment includes external and endogenous
66 microbes which must be tolerated or even accepted as beneficial. In immunological terms,
67 there is a continuum from commensal microbes through to the 'macrobiotics' such as
68 helminths (55). Across this entire 'multibiome' (49), wherever pathogenic consequences are
69 minimal, an immunological equilibrium or truce is adaptive for both parasite and host; thus,
70 in order to promote its own survival in the host during a chronic infection, a parasite may
71 limit pathology which significantly affects the host's fitness, and in order to avoid serious
72 collateral damage to its own tissues, a host may attenuate its immune responses to the
73 parasite.

74 At present there is increasing molecular definition of how microbes contribute to healthy
75 immunological homeostasis in the gut (3,49,73,147). In what follows, evidence will be
76 provided which demonstrates that certain helminth species may similarly restrain excessive
77 reactivity of the mucosal immune system, often in a highly directed manner (43,71,160).
78 These findings have led to the currently intensifying interest in helminth-derived agents as
79 potential new therapeutic tools for allergic, autoimmune and inflammatory bowel diseases
80 (44,114,126,137).

81 **2. Helminths and the Hygiene Hypothesis**

82 In 1989 in an epidemiological survey of family size and birth order in British school children
83 with hay fever and eczema, Strachan (165) found that the prevalence of both of these
84 conditions was reduced in younger siblings within larger families. Strachan proposed that
85 this protective effect might be due to early childhood infections, a supposition which later
86 evolved into various forms of the "hygiene hypothesis" (11,101,164,178,185). These and
87 many other authors have significantly elaborated on the hygiene hypothesis concept, firstly
88 by encompassing the full range of allergic and autoimmune conditions, asthma, type 1
89 diabetes, rheumatoid arthritis, ulcerative colitis, Crohn's disease, multiple sclerosis, to
90 consider the upsurge in inflammatory disorders in the developed world (11,101,185).
91 Secondly, early forms of the hygiene hypothesis proposed that early-life microbial infections
92 protected against allergy by promoting Th1-type responses at the expense of the pro-allergic
93 Th2 arm of immunity which mediates allergy. However, most non-allergic inflammatory
94 conditions are themselves Th1- (and/or Th17-) mediated, arguing against a simple Th1/17 vs

95 Th2 see-saw determining inflammatory status. With the recognition that eukaryotic
96 parasites are also very effective at dampening immunological reactivity of their host through
97 regulatory T cell expansion (101,183), the hygiene hypothesis expanded to evoke immune
98 suppressive regulatory cells as a key pathway by which infectious agents could impact on the
99 control of allergies and autoimmunity (50,102).

100 Further significant reformulations of the hygiene hypothesis include the 'Old Friends
101 hypothesis', (146) which emphasizes protection provided by evolutionary ancient
102 commensal and environmental microbiota, as well as the 'Microflora hypothesis' (129,148)
103 which focuses on the role of gut bacteria in shaping systemic immune responses, and
104 extends into the role of dietary metabolites (171), and finally the 'Biodiversity hypothesis'
105 (67), which underscores potential health effects in a biosphere impacted by loss of
106 biodiversity and by climate change. Bringing all this together, Filyk and Osborne (49) have
107 introduced the term "Multibiome" in order to comprehensively describe the bacteria,
108 viruses, fungi, and multicellular organisms which together colonize the gastrointestinal
109 system and which influence immune homeostasis in health and disease. While helminth
110 parasites thus share the host environment with multiple other forms of life, it is notable that
111 numerous epidemiological, animal model, and clinical investigations have identified a
112 prominent role of helminths in putative protection from allergy and autoimmunity, often
113 linked to the regulatory arm of the immune system (59,68,105,161). It is interesting to note
114 that regulatory T cells are also implicated in many studies of the microbiota's influence on
115 host immunity (57). In particular, *Bacteroides fragilis* expresses PSA (polysaccharide A) which
116 induces Tregs to protect mice from colitis (149). Similarly, species of *Bifidobacterium* (131),
117 *Clostridium* (8,9) and *Lactobacillus* (83) have all been shown to induce Tregs in the gut which
118 are important in creating a stable anti-inflammatory environment (145) Failure or an
119 imbalance in this process may result in pathology, most notably IBD (13).

120 The association between parasite infection and reduced prevalence of immune disorders
121 was first noted by Greenwood et al in 1968 with respect to rheumatoid arthritis in African
122 populations with high endemic helminth exposure (62). Subsequently, the first clear
123 evidence of the role of parasitic infections in modulating allergy came from studies on
124 Gabonese school children in an area endemic for Schistosomiasis; infected children had
125 lower reactivity (measured by skin prick testing) than uninfected contemporaries (174);
126 moreover, when infected children were given anti-helminth therapy, they showed an
127 increase in mite skin test positivity (175). Similar data linking helminth infections with

128 attenuated allergy have been reported in South American populations by independent
129 investigators (5,28).

130 Helminths may also modulate many other inflammatory and autoimmune conditions in
131 humans. A series of reports on multiple sclerosis patients in Argentina linked remission of
132 disease with acquisition of gastrointestinal helminth infections (29) and found disease
133 relapses following clearance of parasites in a subset of these patients (30). In a population-
134 based study in Zimbabwe, Schistosome-infected subjects bore lower levels of circulating
135 auto-immune anti-nuclear antibody (ANA) which increased significantly following anti-
136 schistosome therapy (125). Finally, with respect to inflammatory bowel diseases, there are
137 both case reports (17) and small scale trials indicating that helminth infections can confer a
138 protective effect on patients (44,181).

139 The original Hygiene Hypothesis focused on early life imprinting of the immune system by
140 environmental exposure to microbes; however, helminths may similarly exert lifelong effects.
141 Parasite-specific tolerance was induced in children of mothers exposed to the filarial
142 nematode parasite *Wuchereria bancrofti* in pregnancy (163). Early-life exposure to helminths
143 also modulates responses to allergens, as shown by a study in which antihelminthic
144 treatment of pregnant mothers resulted in a higher incidence of atopic eczema in infants
145 than in those born to untreated infected mothers (124). Furthermore, childhood exposure to
146 helminths was found to be protective against both Crohn's disease and ulcerative colitis (24).

147 This fascinating interaction between environmental imprinting during infection and the
148 known genetic predisposition of humans to inflammatory diseases (155), raises an
149 interesting question of mechanism, which may be answered by arena field of epigenetics.
150 Epigenetics refers to stable and inheritable alterations in gene expression without altering
151 the DNA nucleotide sequence but through chemical modification of DNA bases (e.g.,
152 methylation) and DNA-associated histone proteins (by methylation and acetylation) (7).
153 Prime examples of plasticity following environmental challenge are epigenetic alterations in
154 innate immune cells such as macrophages (151), as well as activated effector and T
155 lymphocytes (182). Indeed, reports are already emerging on epigenetic control of the
156 response to helminth parasites (21,27 ,72), as well as in a range of inflammatory diseases
157 (7,98,132) suggesting that epigenetic research will provide a strong theoretical and empirical
158 basis for understanding the modulatory effects of helminths in the gastrointestinal tract
159 during autoimmunity and allergy.

160 The increase in immunological reactivity following antihelminthic clearance demonstrates,
161 however, that the immune system is not always immutably imprinted by parasite exposure,
162 but responsive to its current infection status. In fact, helminth infection in later life can very
163 clearly downmodulate immune hyperactivity (104,116,181), leading as discussed below to
164 trials using live parasites to treat inflammatory conditions such as IBD (168), celiac disease
165 (51,112).

166 3. Helminths and the Immune System

167 Helminth parasites encompass a myriad of different life histories with particular dynamics
168 and properties which drive a wide diversity of immune responses (**Table 1**). Together with
169 multiple environmental variables (co-infections, co-morbidities, diet and climate) and
170 polymorphisms in host immune response genes, it is not surprising that different helminth
171 infections may either exacerbate or ameliorate allergy and autoimmunity (111,153,161), and
172 consideration of immune modulation by helminths must take these other factors into
173 account.

174 In humans and livestock, intestinal helminths include the nematode roundworms and the
175 cestode tapeworms. Each species possesses a particular migratory cycle and tropism, and
176 generally localises to a specialised anatomical niche. For example, schistosomes, hookworms
177 and *Strongyloides* larvae penetrate unbroken skin and travel to the lung before migrating
178 either to the mesenteric vasculature or the lumen of the gut. Other parasites, such as
179 immature stages of tapeworms and the nematode *Trichinella* leave the gut to encyst in
180 muscle for transmission to a new carnivorous host. Such helminths can cause severe
181 inflammation as in the case of schistosome trematodes releasing eggs which either transit
182 through the intestinal wall or lodge in the liver causing fibrosis (16,48). However, apart from
183 the blood-feeding hookworms, many of the parasites that establish in the intestinal lumen
184 are not directly pathogenic to their surrounding tissue.

185 The immune response to helminths is generally dominated by the Type 2/Th2 pathway that
186 serves to directly trap, kill or expel parasites, alongside an expanded regulatory T cell (Treg)
187 compartment that modulates and dampens inflammation (63,100). This creates an
188 environment in which helminths cannot thrive while also promoting repair of the physical
189 damage caused by the worms (1,54), and is in contrast to the classical inflammatory Type 1
190 response targeted at bacterial and viral micro-organisms.

191 The Type 2 response is principally effected through the IL-4R α and STAT6 pathways (1,173),
192 driven by either or both IL-4 and IL-13. In helminth infections, Type 2 immunity is initiated at
193 the site of invasion by epithelial cells which release the alarmins IL-25 and IL-33 inducing
194 innate lymphoid cells (ILCs) to produce IL-13 and other cytokines. In the absence of either IL-
195 25 or IL-33, resistance to helminth infections is severely impaired (127), as is the case in IL-
196 4R α or STAT6 deficiency (173).

197 The IL-4R α -dependent adaptive immune response which ensues includes antigen-specific
198 Th2 lymphocytes producing cytokines IL-4, IL-5, IL-9 and IL-13 (176), and Type 2 phenotype
199 (M2) alternatively activated macrophages (90). Type 2 macrophages are centrally involved in
200 the anti-helminth response and repair mechanisms through molecules such as Arginase-1,
201 TIMP1 and 2 (inhibitors of metalloproteases) and IGF-1, which promotes fibroblasts and
202 myofibroblast matrix formation (2,90).

203 Regulatory T cells police the immune system to prevent untoward inflammatory reactions
204 against self-antigens and innocuous environmental substances, while also terminating
205 responses to pathogens when no longer required (152). They characteristically express the
206 transcription factor forkhead box P3 (Foxp3), and suppress both effector Th1 and Th2 cells
207 through both direct cell surface interactions and by the secretion of TGF- β and IL-10. A
208 defect in the Foxp3 gene results in fatal autoimmunity in mice and the IPEX syndrome in
209 humans (immune dysregulation, polyendocrinopathy, enteropathy, X-linked syndrome) with
210 extensive inflammation particularly in the gastrointestinal tract (10). Tregs have a dual role
211 in helminth infections: they protect the host from excessive inflammatory response to
212 infection, but they also may reduce protective immunity and thereby permit infections to
213 establish chronicity (34,154,159,170). Reflecting the dependence of helminths on the
214 regulatory compartment, it has been found that some helminths are able to induce the
215 development of Tregs to modulate the immune response (61,186).

216 It is important to recognise also that the immune response to helminth infection may evolve
217 dramatically over time, following developmental changes in parasite migration or
218 maturation, and/or time-dependent switches in immune activation or regulation. A classic
219 example is in Schistosomiasis in which an initial Th1 response is superceded by a dominant
220 Th2 mode once parasite egg release has commenced (135). Similarly, Nutman and Santiago
221 (130,153) have mapped the evolution of a typical immune response to helminths, from the
222 initiation of infection at mucosal surfaces, when a broad and robust inflammation, primarily
223 mediated by effector Th1, Th2, and Th17 CD4+ cells, attempts to abort the infection; if

224 unsuccessful, a period of weeks or months following, during subacute or latent infection is
225 characterized by a more limited or focused Th2 reaction, primarily mediated by Th2 CD4+
226 cells, IL-4, IL-5, and eosinophils which together minimize parasitic load. If a chronic
227 infection is established over the succeeding months or years, the host response becomes
228 essentially immunomodulatory and is primarily mediated by regulatory cells (1,44,50,161)
229 and anti-inflammatory cytokines (e.g., IL-10 and TGF- β) to assure that low levels of
230 helminths are tolerated and immune homeostasis prevails. While this vignette is of course
231 oversimplified, it well illustrates the alternative modes of anti-helminth immune
232 responsiveness, and is important in considering if immune modulation is differentially
233 evoked during different phases of infection (45,97).

234 **4. Immune mechanisms in the gastrointestinal tract**

235 The intestine is the crucial barrier surface that must both obtain nutrition and protect the
236 host. In this milieu, the immune system is constantly exposed to pathogens and foreign
237 antigens, and its cells must discriminate pathogenic from harmless stimuli in order to mount
238 protective responses whilst maintaining homeostasis by tolerating food antigens, non
239 pathogenic bacteria and helminths (79,136). In addition, the immune system must
240 compensate for the effects of the pathogen, reducing both the damage caused by the
241 pathogen itself and the collateral immune mediated damage necessary to clear the invading
242 organism (22).

243 The epithelial cells of the intestine, which are the first responders to gut infection, consist of
244 the enterocytes, goblet cells, neuroendocrine cells, paneth cells and tuft cells. Together, the
245 intestinal epithelial cells perform an essential barrier role, including intercellular tight
246 junctions which prevent pathogens from breaching the GI tract (6). The epithelial cells
247 express pattern recognition receptors such as Toll-like receptors (TLRs) and nucleotide-
248 binding oligomerization domain like receptors (NLRs) to sense pathogenic bacterial products
249 such as lipopolysaccharide (LPS). Epithelial cells also respond to physical invasion and trauma
250 by releasing alarmin cytokines that stimulate innate lymphoid and dendritic cells to initiate
251 an immune response.

252 Distributed along the small intestinal epithelium, particularly in the more distal ileum, are
253 lymphoid aggregates known as Peyer's Patches (82). Each patch is surrounded by follicle-
254 associated epithelium, which consists of follicle-associated enterocytes and M cells that
255 sample the surrounding microenvironment. M cells and other specialised cells beneath the

256 epithelial barrier generate the antigen-specific response necessary for antibody production
257 and generation of immunological memory. M cells have microfolds instead of microvilli and
258 a basolateral pocket containing T and B lymphocytes, macrophages and dendritic cells (92).
259 Activated dendritic cells travel via the lymphatics to the gut-draining mesenteric lymph
260 nodes where they present antigens to naïve T cells and coordinate adaptive responses (64).

261 Interestingly in helminth infections, three specialized epithelial cell subtypes are prominent:
262 the goblet cells, paneth cells and tuft cells. Goblet cells secrete mucins, trefoil peptides and
263 resistin-like molecules, which make up mucus (88). These are secreted by exocytosis in
264 response to external stimuli such as microbes, cytokines and inflammation. The mucus
265 functions as a lubricant and helps maintain the barrier between the epithelium and the
266 intestinal microbiota (109). Paneth cells are present at the base of crypts in the small
267 intestine and play a dual role in nourishing adjacent intestinal stem cells, and releasing
268 important antimicrobial molecules (25) including lysozyme, phospholipase A2 and
269 antimicrobial defensins. Very recently, a little-studied epithelial cell type, the Tuft cell, has
270 been discovered to play a major role in anti-helminth immunity, through the production of
271 the alarmin IL-25 (56,76,177). Mice lacking the transcription factor required for tuft cell
272 differentiation, Pou2f3, are devoid of Tuft cells and unable to expel intestinal helminths
273 unless exogenous IL-25 is administered (56).

274 In intestinal helminth infection, alarmin release and production of Th2 cytokines stimulate
275 muscle peristalsis and epithelial fluid egress, constituting a “Weep and Sweep” model for
276 helminth expulsion. As well as goblet cell mucus release, mast cell proteases degrade tight
277 junctions and allow intestinal fluids to leak into the intestinal lumen (110) and the smooth
278 muscle contracts to effectively sweep the helminths away (4,99,103). In addition, epithelial
279 cells increase their rate of turnover in order to produce an “epithelial escalator” to expel the
280 helminth (26).

281 **5. Inflammatory Bowel Diseases**

282 Ulcerative Colitis (UC) and Crohn’s disease (CD) are both inflammatory bowel diseases (IBD)
283 resulting in significant long term morbidity and mortality (118). CD results in predominantly
284 gastrointestinal symptoms including abdominal pain, fever, diarrhea with blood and mucus
285 (14). Disease can manifest anywhere along the GI tract and can also result in non-
286 gastrointestinal features such as uveitis and enteropathic arthritis. UC affects the colonic

287 mucosa and predominantly presents with bloody diarrhea (134), and also differs
288 immunologically from CD in displaying an atypical Th2-like inflammatory condition (35).

289 Celiac disease is an autoimmune gluten sensitive small-intestinal enteropathy triggered by
290 gluten in cereals (123,162). This can present with diarrhea, abdominal pain, distension and
291 vitamin deficiency, plus failure to thrive in children. Celiac disease is treated by a gluten-free
292 diet, however there are cases of refractory disease that may benefit from
293 immunomodulatory therapies.

294 IBD is accompanied by a high level of T cell cytokine production, in particular, expansion of
295 inflammatory Th1 cells; under control of the transcription factor Tbet, Th1 cells produce IFN-
296 γ and TNF in response to appropriate co-stimulatory signals from gut antigen-presenting DCs
297 and macrophages. In experimental mouse models of IBD, the effect of regulatory T cells is
298 decisive in determining disease progression. In mice lacking T and B cells, (for example SCID
299 or RAG-deficient), the lymphocyte compartment can be reconstituted by the transfer of
300 syngeneic cells from wild-type donors. However, if regulatory T cells are depleted from the
301 transferred population, the remaining CD4⁺ effector T cell populations cause a chronic colitis
302 with a Th1 pattern of cytokine synthesis (IFN- γ and TNF) (106,139).

303 IBD-like colitis can also be generated by stimulating innate cells in RAG-deficient mice with
304 anti-CD40 activating antibodies (172), or by causing gross epithelial damage with agents
305 such as dextran sodium sulfate (DSS) (133). Blocking TNF reduces the severity of DSS colitis
306 in mouse models (89), and indeed as discussed below UC and CD have been successfully
307 treated blocking antibodies to TNF.

308 In addition to IFN- γ and TNF, the IL-23/IL-17 axis is prominent in IBD; for example Th17
309 cytokines are elevated in human IBD (52). In a model of innate gut inflammation driven by
310 *Helicobacter hepaticus* infection in RAG^{-/-} mice, IL-23 instigates colitis, and is produced by an
311 innate lymphoid cell population, the ILC3 subset (19). In immunologically intact mice, Th17
312 cells also produce IL-22, a member of the IL-10 family of cytokines, which may protect
313 against colitis. In mouse DSS-induced colitis, IL-22 delivery attenuated disease (166) while IL-
314 22^{-/-} mice suffered greater weight loss compared to wild type. Likewise, in a T cell transfer
315 model of colitis, transfer of IL-22^{-/-} T cells resulted in a more severe phenotype of colitis than
316 in mice infused wild type T cells (187). Innate lymphoid cell production of IL-22, stimulated
317 through the prostaglandin pathway, is also required to maintain gut barrier integrity (39).
318 While in human ulcerative colitis, IL-22⁺ T cells were linked to amelioration of symptoms

319 (17), in Crohn's disease, the expression of IL-22⁺ T cells within inflamed mucosa act to
320 increase expression of inflammatory cytokines within subepithelial myofibroblasts, and so
321 the role of IL-22 may be highly context-dependent.

322 As Type 2 immune cells (eg Th2 and M2 macrophages) drive contrasting responses to Th1
323 and Th17 cell phenotypes they may be beneficial where the latter subsets mediate
324 pathology. One route by which Type 2 responses can counteract colitis is through the
325 intestinal macrophage population, the largest of any tissue in the body (12). In mouse
326 models of IBD, IL-4/IL-13 has been used to polarize macrophages to the M2 phenotype and
327 transferring these macrophages results in an ameliorated phenotype of colitis (31,78). Tregs
328 are also key mediators of protection against colitis, as their inclusion together with effector
329 T cells results in protection against disease in the T cell transfer model (122,158).

330 The crucial role of Treg-associated cytokines is supported by the observation that TGF- β 1-
331 deficient mice develop multiorgan lymphoproliferative disease of the gut (94,96) while, IL-
332 10^{-/-} and IL-10R^{-/-} mice develop a spontaneous colitis (93,157). Again, macrophages are
333 implicated in pathogenesis, as when lacking IL-10R, they are intrinsically pro-inflammatory
334 and cause spontaneous colitis in mice, while pediatric patients with mutations in the IL-10
335 receptor have more pro-inflammatory macrophages and an IBD-like phenotype (157,190).

336 Anti-cytokine therapy is a key current treatment of IBD, with the use of anti-TNF antibodies
337 such as infliximab and adalimumab. The antibody ustekinumab, which acts against p40 (the
338 common subunit of IL-23 and IL-12) may be useful in IBD because of its role in blocking the
339 differentiation of naïve T cells to Th1 and Th17 cells; however, other anti-cytokine reagents
340 show little effect or make disease worse (e.g. secukinumab: anti IL-17A antibody), implying
341 individual cytokines may have pro- and anti-inflammatory effects (128). Vedolizumab is a
342 monoclonal antibody against α 4 β 7 integrin and results in gut specific anti-inflammatory
343 activity(46,85). SMAD7, an intracellular protein that blocks TGF- β signaling, can be targeted
344 *in vivo*: Mongersen, an oral SMAD7 antisense oligonucleotide, upregulates anti-
345 inflammatory TGF- β effects and also shows promising results in therapy of Crohn's Disease
346 (119).

347 Newer approaches to treatment of IBD include a trial of Treg therapy (36). Peripheral blood
348 Tregs were isolated from patients and expanded *in vitro* in the presence of ovalbumin,
349 before reinfusion into the same individual; this resulted in a reduction in the Crohns' disease
350 activity score, but did not reach clinical significance (36). With growing interest in the

351 immunomodulatory properties of helminth parasites, the use of helminths or their products
352 has also attracted attention as a potential novel therapy as outlined below.

353 **6. Modulation of IBD by helminths and their products**

354 As discussed above, epidemiological studies have indicated that populations with higher
355 helminth parasite burdens, suffer fewer immune inflammatory conditions such as allergy
356 (114), and inflammatory bowel disease is known to be less frequent in helminth-endemic
357 countries (40). A substantial number of experimental animal models have also been used to
358 show amelioration of colitic disease by helminth infections (**Table 2**), with studies
359 encompassing all three of the helminth taxonomical groups, the cestodes, nematodes and
360 trematodes. Interestingly, reports from two different parasite models (with cestode and
361 trematode infections) have implicated macrophage populations in helminth-generated
362 protection against intestinal pathology (78,160). Mechanistically, induction of IL-10 has been
363 a recurrent theme in analyses of cytokine levels in helminth infected mice (77) alongside a
364 generalised switch from Th1 to Th2 cytokine production (169), while the helminth-induced
365 expansion of Tregs that suppress colitis has also been demonstrated (66).

366 Colitis can be induced in a number of animal models, in each of which authors have
367 demonstrated the effectiveness of helminth infections, or exposure to helminth eggs, in
368 reducing disease severity scores, improving histological inflammation and in dampening
369 inflammatory cytokine profiles such as IFN- γ and IL-17 (**Table 2**). The impact of different
370 species in each model reflects the ability of helminths to promote chronicity of infection and
371 immunological tolerance through a variety of mechanisms (113,161).

372 One widely studied helminth model is the murine intestinal nematode *Heligmosomoides*
373 *polygyrus* (144). In early studies, it was shown that the propensity of IL-10-deficient mice to
374 develop colitis (exacerbated by administration of the non-steroidal anti-inflammatory drug
375 piroxicam) was ameliorated by *H. polygyrus* infection (42), and the same protective effect
376 was observed when transferring IL-10-deficient T cells to RAG-deficient mice, which normally
377 develop severe colitis (115). In more direct, and acute, models of colitis it has been found
378 that both BALB/c and C57BL/6 mice given infective *H. polygyrus* larvae orally showed
379 reduced severity of TNBS colitis (156,169), and increased mucosal electrical resistance
380 indicating improved barrier function (156). In addition, the fourth-stage larvae of the same
381 parasite improved disease score and histopathology in BALB/c mice suffering the effects of
382 DSS-induced colitis (37).

383 The *H. polygyrus* model has also been very instructive at the mechanistic level. Foxp3⁺ T
384 regulatory cells isolated from the mesenteric lymph node of *H. polygyrus*-infected mice were
385 adoptively transferred into RAG^{-/-} mice and conferred protection from piroxicam-induced
386 colitis, whereas Foxp3⁺ T regulatory cells from uninfected animals did not (15,66); these data
387 correlate with the known potency of *H. polygyrus* to activate the host T regulatory cell
388 compartment (159). In addition, adoptive transfer of dendritic cells from *H. polygyrus*-
389 treated mice in a RAG^{-/-} T cell transfer model improved histological inflammation: these DCs
390 were able to block OVA induced cytokine secretion *in vitro* (15).

391 Other live helminth infections found to be protective include the rat cestode tapeworm
392 *Hymenolepis diminuta*; mice infected with this parasite showed improved clinical scores and
393 histopathology in a DNBS model of colitis (77,78). Interesting mechanistic studies in this
394 system have shown that protection required established infection, as STAT6-deficient mice
395 both cleared the parasite and developed severe colitis (77); moreover, protection by
396 infection was abolished by anti-IL-10 blocking antibodies (77). Protection was found to be
397 mediated via the dominant population of alternatively activated macrophages (AAMs)
398 generated by *H. diminuta* infection; macrophage depletion with clodronate-loaded liposomes
399 reduced the effects of *H. diminuta*, while adoptive transfer of *in vitro*-generated AAMs was
400 protective (78). Furthermore, protective myeloid cells could be generated *in vivo* by injection
401 of *H. diminuta* antigens, with the resultant CD11b⁺F4/80⁺Ly6C^{hi}Gr-1^{lo} population able to block
402 DSS-induced colitis in recipient animals (143). A broader network of regulatory cells are,
403 however, generated during this infection, such that splenic regulatory B cells can also confer
404 protection against colitis (141), as well as dendritic cells pulsed with *H. diminuta* antigen
405 were also successfully transferred to treat a DNBS colitis (108). Most recently, the protective
406 effects of *H. diminuta* infection, and of the myeloid population induced by the parasite, have
407 been shown to be inhibited by IL-22 but promoted by IL-25-dependent, with disease scores
408 in DNBS-induced colitis exacerbated by anti-IL-25 antibody treatment (142).

409 In a similar manner, *Schistosoma mansoni* infections have also been shown to reduced the
410 severity of experimental colitis in both DSS (160) and TNBS (120) models, again with
411 involvement of the macrophage compartment (160). A number of investigators have also
412 tested the ability of Schistosome eggs, known potent immunomodulators, to influence
413 colitis; eggs of both *S. mansoni* and a related species *S. japonicum* show protective effects,
414 and T regulatory cells were found to be increased in spleens of *S. japonicum*-egg treated

415 TNBS mice compared to TNBS alone (117). The exposure to *S. japonicum* eggs also resulted
416 in reduced idiopathic bacterial transfer during TNBS colitis (188).

417 Finally, in another nematode infection system, *Trichinella spiralis* was also found to
418 ameliorate both DNBS- and TNBS-induced colitis (84,189) but not the type 2 mediated
419 oxazolone colitis (189). Although few mechanistic insights into this system are as yet
420 available, there is a clear indication of a cytokine switch resulting from infection, with
421 reduced IL-12 and higher levels of Type 2 cytokines in infected mice challenged with the
422 colitis model (84,189).

423 **7. Human Therapy**

424 Deliberate infection of humans with live parasites has already been tested for the potential
425 to modulate these gut inflammatory diseases. In UC, a notable report was that from a single
426 individual with who self-medicated with *Trichuris trichiura*, the human whipworm (17). The
427 patient's symptoms resolved, associated with increased IL-22 from T helper cells, consistent
428 with a protective effect for this cytokine as discussed above. The hookworm *Necator*
429 *americanus* has also been trialled in celiac disease patients (32,33) demonstrating
430 suppression of inflammatory cytokines (112). Infection also allowed patients with celiac
431 disease to tolerate increasing gluten load and increased gut microbial richness (58).

432 The most widely used agent, however, has been the pig whipworm *Trichuris suis* which was
433 selected as being short-lived in humans and minimally pathogenic (180). Administration of *T.*
434 *suis* ova (TSO) has been used successfully in small-scale trials to alleviate active CD and UC
435 (44,167,168); however two larger-scale trials, one including over 200 patients, were recently
436 discontinued due to unusually high placebo response rates (44), and hence the future of this
437 approach has yet to be resolved. A recent Cochrane review concluded that there is
438 insufficient evidence to determine the safety and efficacy of helminth therapy for human
439 IBD (53). Further randomized controlled trials are required to assess the efficacy of helminth
440 infections as a treatment of inflammatory bowel disease.

441 A recent study on idiopathic chronic diarrhoea in captive macaques also found alleviation of
442 disease by deliberate helminth infection (18). Interestingly, this implied an increase in
443 diversity of microbiota in association with *T. trichiura* infection. Potentially the helminth
444 infection restored intestinal diversity, an important co-factor to consider for future studies.

445 Currently, the landscape for live helminth therapy is uncertain; treatments have generally
446 proven to be safe but promising case reports and small scale trials have not progressed
447 successfully through large trials for a variety of logistical reasons, leaving us still short of an
448 unequivocal randomized controlled study that would establish efficacy (44,45).

449 **8. Molecular Approaches**

450 Although there is strong evidence that live parasite infections exert profound down-
451 modulatory effects on the immune system of their hosts, the therapeutic application of
452 deliberate parasite infection is fraught with ethical and practical problems (45,81). Hence,
453 the use of defined molecular products from the same parasites is being explored as potential
454 immunomodulators. A number of groups are testing parasite products in immunological
455 disorders of the gastrointestinal tract (**Table 3**).

456 In earlier studies, parasite extracts or collections of excretory/secretory (ES) products were
457 first tested for their protective effects against disease activity in a variety of mouse IBD
458 models. Soluble extracts of the dog hookworm *Ancylostoma caninum* reduced clinical
459 disease scores and abated the profile of inflammatory cytokines (IFN- γ , IL-17, TNF) in both
460 DSS- and TNBS-induced colitis models (20,150). Likewise both somatic extract and ES
461 products from the closely related *A. ceylanicum* also suppressed DSS-induced colitis in mice
462 (20), as did extract and ES from the pork nematode *Trichinella spiralis* (121,184). Within the
463 trematode models soluble extracts of *S. mansoni* have protected mice against both TNBS-
464 induced colitis (150), and in the T cell transfer model into RAG-deficient hosts (70).

465 More recently, it has become possible to test individual defined products from helminth
466 parasites, expressed as recombinant proteins; in principle this approach should accelerate
467 the translation from helminth infection to a molecular therapy for colitis. To date, however,
468 only limited information has appeared, often lacking appropriate control proteins (such as
469 inactive mutants, or even unrelated proteins expressed in the same recombinant vector).
470 Nevertheless, it has been reported that *Brugia malayi* cytoplasmic asparaginyl-tRNA
471 synthetase (rBMAsnRS) improved colitis scores in a T cell transfer model, attributed by the
472 authors to the ability of BMAsnRS to bind IL-8 (91). Other *B. malayi* proteins linked to
473 protection from colitis include ALT-2 (86), an abundantly expressed larval product previously
474 shown to inhibit IFN- γ signaling (60), and CPI-2 or cystatin (85), which blocks antigen
475 processing in mammalian cells (107). However, control inactive mutants of these proteins
476 were not tested in the published reports.

477 Some studies have further explored the cellular mechanisms through which helminth
478 products may protect from colitis. Similar to the parasites themselves, parasite-derived
479 molecules predominantly stimulate a Type 2 response in innate cells as well as activate T
480 regulatory cells (Tregs) (**Table 3**). Innate immunity in particular plays an important role in
481 ameliorating colitis severity, linked to IL-10 production. Interestingly, the macrophage
482 migration inhibitory factor (MIF) homologue from *Anisakis simplex* (As-MIF) has also been
483 shown to induce upregulation of IL-10 in both lymph node and intestinal epithelial cells, and
484 also increases Foxp3⁺ Treg expression in mice subject to DSS-induced colitis (23). Returning
485 to the cystatin family of inhibitors, a recombinant cystatin from *S. japonicum* (rSjcystatin)
486 induced Foxp3⁺ T regulatory cells and improved disease activity scores in TNBS-induced
487 colitis (179), while a more distant homologue (CsStefin-1) from the liver fluke *Clonorchis*
488 *sinensis*, was shown to increase IL-10 positive macrophages in the DSS-induced colitis model
489 (80).

490 In a similar vein, the galectin from the feline intestinal nematode *Toxascaris leonina*,
491 provided modest protection against disease activity in DSS-induced colitis, while raising IL-10
492 and TGF- β responses (87), while a Schistosome enzymatic protein, the 28-kDa glutathione S-
493 transferase, P28GST) conferred a protective effect that was dependent on eosinophil
494 infiltration, as the effect was absent in IL5^{-/-} mice (38). Notably, each of the studies quoted
495 here tested a single recombinant protein in the absence of controls that would exclude
496 trivial immune deviation effects (from administration of an exogenous antigen) or potential
497 contaminants introduced through the recombinant expression system.

498 **Conclusions and Outlook**

499 Inflammatory bowel diseases have been treated with powerful immunosuppressive
500 medications such as Infliximab, which severely dampens the body's ability to mount a
501 protective response in an infection. Helminths have existed symbiotically with humans for
502 many millennia and have developed sophisticated means of manipulating the immune
503 system to their advantage without greatly compromising anti-microbial defenses. The
504 discovery that helminths and helminth-derived products can alleviate colitic disease in
505 model systems may thus be key in deriving novel compounds which are effective against a
506 range of autoimmune diseases but maintain the ability to fight bacterial infections.
507

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514

515 Table 1 : Major Helminth Parasites including Species Implicated in Modulating Colitis

Phylum	Species	Notes
Cestodes (Tapeworms)	<i>Echinococcus granulosus</i>	Causes hydatid cysts of the liver following ingestion of eggs from dogs.
	<i>Hymenolepis diminuta</i>	Small tapeworm of rats; other members of genus can infect humans.
	<i>Taenia saginata, T. solium</i>	Human tapeworms, transmitted through undercooked beef or pork; can cause cysticercosis and neurocysticercosis.
Nematodes (Roundworms)	<i>Ancylostoma caninum, A. ceylanicum, A. duodenale</i>	Hookworms of dogs and humans, larvae in soil penetrate skin and home to gut via the lungs.
	<i>Anisakis simplex</i>	Parasite of marine mammals; larvae in fish can infect humans if eaten raw.
	<i>Ascaris lumbricoides</i>	Common roundworm of human; infects ~800 million people; direct fecal-oral transmission through eggs in environment
	<i>Brugia malayi</i>	Lymphatic filarial parasite, mosquito-borne, causes elephantiasis
	<i>Heligmosomoides polygyrus</i>	Mouse intestinal nematode related to hookworm, widely used model system.
	<i>Necator americanus</i>	Human hookworm; together with <i>A. duodenale</i> infects ~600 million people.
	<i>Strongyloides stercoralis</i>	Threadworm, infects intestinal tract and causes Strongyloidiasis. Can autoinfect the host, hence lifelong infection.
	<i>Toxascaris leonina</i>	Large roundworm of cats and canids, closely related to <i>Ascaris</i> in humans.
	<i>Trichinella spiralis</i>	Pork worm, contracted from undercooked meat, larvae invade muscle cells of the host.
	<i>Trichuris trichiura</i>	Whipworm in large intestine; infects ~600 million people. Related species from pigs (<i>T. suis</i>) used in helminth therapy.
	<i>Wuchereria bancrofti</i>	Lymphatic filarial parasite, mosquito-borne, causes elephantiasis.
Trematodes (Flukes)	<i>Clonorchis sinensis</i>	Liver fluke prevalent in Asia, can cause cholangiocarcinoma.
	<i>Schistosoma japonicum</i>	Causes Schistosomiasis japonica, hepatosplenic disease; transmitted through intermediate snail host releasing water-borne invasive cercarial larvae.
	<i>Schistosoma mansoni</i>	Widespread cause of Schistosomiasis, together with <i>S. haematobium</i> and <i>S. japonicum</i> , afflicting ~200 million people.

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518 Table 2 : Effects of helminth infection or exposure on intestinal inflammation

Model	Detail	Suppression	Reference
<i>Heligmosomoides polygyrus</i> (Nematoda)			
IL-10-deficient colitis	C57BL/6 piroxicam-induced	Histopathology, IFN- γ and IL-12	(42)
RAG transfer model	IL-10-/- T cells + piroxicam	Histopathology	(115)
TNBS colitis	C57BL/6 d14 infection, d4 colitis	Histopathology	(156)
TNBS colitis	BALB/c d10 infection, d4 colitis	Histopathology, IFN- γ and TNF	(169)
RAG transfer model	IL-10-/- T cells + piroxicam	Histopathology, IFN- γ and IL-17	(15,65,66)
OVA-specific colitis	OVA-specific T cells and oral OVA	Histopathology, IFN- γ and IL-17	(95)
DSS colitis	BALB/c mice, up to 18 days	Weight loss and fecal blood	(37)
<i>Hymenolepis diminuta</i> (Cestoda)			
DNBS colitis	Infection 8 days prior to DNBS	Clinical score, histopathology and Myeloperoxidase, IL-10 dependent	(77)
DNBS colitis	Infection 8 days prior to DNBS	Clinical score, histopathology and Myeloperoxidase	(78)
DNBS colitis	Infection 8 days prior to DNBS	Protection IL-25 dependent	(142)
<i>Schistosoma japonicum</i> and <i>S. mansoni</i> (Trematoda)			
DSS colitis	<i>Sm</i> Infection 8 weeks prior to DSS	Weight loss, colon shortening, disease activity index	(160)
TNBS colitis	Mice exposed to <i>Sm</i> eggs	Histopathology, IFN- γ and mortality	(41)

TNBS colitis	Mice exposed to <i>Sj</i> eggs	Histopathology, IFN- γ	(117)
TNBS colitis	Mice exposed to <i>Sj</i> eggs (freeze-thawed)	Histopathology, IFN- γ and bacterial translocation	(188)
TNBS colitis	Rats infected with <i>Sm</i> 7 days prior to TNBS	Histopathology and myeloperoxidase	(120)
<i>Trichinella spiralis</i> (Nematoda)			
DNBS colitis	Infection 21 days prior to DNBS	Histopathology, IL-12 and myeloperoxidase	(84)
TNBS colitis	Infection 21 days after TNBS	Histopathology, myeloperoxidase and mortality	(189)

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520

521 Table 3 Helminth Products and Proteins in Intestinal Inflammation

Molecules	Detail	Suppression	Reference
Nematode Extracts and ES			
<i>Ancylostoma caninum</i> ES	DSS colitis	Histopathology, Cytokines, Weight Loss	(47)
<i>A. caninum</i> soluble proteins	TNBS colitis in Swiss mice	Histopathology, MPO	(150)
<i>Ancylostoma ceylanicum</i> extract, ES	DSS colitis in BALB/c mice	Histopathology, cytokines, myeloperoxidase	(20)
<i>Trichinella spiralis</i> larval extract	DNBS colitis in C57BL/6 mice	Histopathology, MPO, IL-1 β respons; raised TGF- β , IL-13	(121)
<i>T. spiralis</i> ES	DSS colitis in C57BL/6 mice	Histopathology, disease activity, cytokines	(184)
Nematode Proteins			
<i>Anisakis simplex</i> MIF homologue	DSS colitis in C57BL/6 mice	Disease Activity Index, Weight Loss	(23)
<i>Brugia malayi</i> asparaginyl-tRNA synthase	T cell transfer model	Histopathology	(91)
<i>B. malayi</i> Cystatin	DSS colitis in BALB/c mice	Disease Activity Score, Histopathology	(85)
<i>B. malayi</i> ALT 2 protein	DSS colitis	Disease activity score, myeloperoxidase activity	(86)
<i>Toxascaris leonina</i> Galectin	DSS colitis in C57BL/6 mice	Disease Activity Index, Weight Loss; raised TGF- β , IL-10	(87)
Trematode Extracts			
<i>Schistosoma mansoni</i> soluble proteins	TNBS colitis in Swiss mice	Histopathology, MPO, IFN γ response	(150)
<i>S. mansoni</i> soluble extract	T cell transfer model	Clinical Disease Score, Colonoscopy,	(70)

		Myeloperoxidase	
Trematode Proteins			
<i>Clonorchis sinensis</i> cystatin	DSS colitis in C57BL/6 mice	Disease Activity Index	(80)
<i>S. mansoni</i> 28-kDa glutathione S-transferase (P28GST)	TNBS colitis in rats	Reduced clinical and histological scores, 50% reduction in colonic Myeloperoxidase	(38)
<i>Schistosoma japonicum</i> cystatin	TNBS colitis in BALB/c mice	Histology, Cytokine responses	(179)

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